



The Likelihood of Reaching Substantial Clinical Benefit After an Interlaminar Dynamic Spacer for Chronic Low Back Pain: A Clinical and Radiologic Analysis of a Prospective Cohort

Junseok Bae¹, Shih Min Lee¹, Sang-Ho Lee¹, Sang-Ha Shin¹, Ho-Jin Kim¹, Kyeong Hwan Kim²

■ **OBJECTIVE:** Chronic low back pain (CLBP) often causes disabling pain that impairs a patient's quality of life. Surgical treatment is recommended for patients who do not respond to conservative treatments lasting more than 6 months. The purpose of this study is to present results after the use of an interlaminar dynamic spacer for CLBP.

■ **METHODS:** We enrolled consecutive patients with CLBP irresponsive to more than 6 months of conservative treatment into the present study. Included patients underwent an interlaminar dynamic spacer insertion without direct decompression. We assessed radiographic parameters and health-related quality of life (HRQoL) data included visual analog scale back/leg pain and Oswestry Disability Index scores. Substantial clinical benefit achievement was assessed.

■ **RESULTS:** Thirty-five patients (average age, 47.8 years; 21 female) were included. The mean preoperative symptom duration was 29.6 months. Surgeries involved 1-level ($n = 18$) and 2-levels ($n = 17$) procedures. Operative levels included L4-5 ($n = 8$), L5-S1 ($n = 10$), L3-4-5 ($n = 2$), and L4-5-S1 ($n = 15$). The average follow-up period was 16.6 months. After the procedure, all radiographic parameters (including disc height, segmental extension angle, and foraminal area) improved significantly. All preoperative HRQoL parameters improved significantly at the final follow-up. Substantial clinical benefit achievement was observed in 75.8% of the cases on the Oswestry Disability

Index, and in 72.7% and 84.8% of the cases on the visual analog scale back and leg pain, respectively.

■ **CONCLUSIONS:** An interlaminar dynamic spacer significantly improves HRQoL scores in patients with CLBP who do not respond to conservative treatment. Although encouraging, these results should be confirmed with studies assessing a larger cohort and a longer follow-up.

INTRODUCTION

Chronic low back pain (CLBP) is a serious medical and social problem, and one of the most common causes responsible for musculoskeletal disability. In the literature, it is estimated that worldwide, an individual has an 80% probability of having low back pain (LBP) at some period during their lifetime, and about 18% of the population experiences LBP at any given moment.^{1,2} According to the U.S. National Center for Health Statistics reports, 14% of new patients who go to a hospital for treatment are patients with low back pain. This figure represents 13 million people.³ About 10% of these patients develop chronic persistent or recurrent LBP.^{4,5}

To determine the cause of CLBP, the anatomic relationship of the spinal nerves in the neural foramen to the ligamentum flavum, and the intervertebral disk need to be evaluated. The sinuvertebral nerve at the posterior annulus and posterior longitudinal ligament, median branches at the facet joints, the dura mater, and the nerve root (especially the dorsal root, ganglion) are the main

Key words

- Chronic low back pain
- Degenerative disc disease
- Interlaminar device
- Interlaminar dynamic spacer
- Motion preservation

Abbreviations and Acronyms

- CLBP:** Chronic low back pain
- HRQoL:** Health-related quality of life
- ILD:** Interlaminar devices
- ISD:** Interspinous devices
- LBP:** Low back pain
- MRI:** Magnetic resonance imaging
- ODI:** Oswestry Disability Index
- SCB:** Substantial clinical benefit

VAS: Visual analog scale

VAS-LBP: Visual analog scale for low back pain

VAS-LP: Visual analog scale for leg pain

From the Departments of ¹Neurosurgery and ²Orthopedic Surgery, Spine Health Wooridul Hospital, Seoul, South Korea

To whom correspondence should be addressed: Junseok Bae, M.D.
[E-mail: jsbaemd@gmail.com]

Citation: *World Neurosurg.* (2017) 101:589-598.
<http://dx.doi.org/10.1016/j.wneu.2017.02.083>

Journal homepage: www.WORLDNEUROSURGERY.org

Available online: www.sciencedirect.com

1878-8750/\$ - see front matter © 2017 Elsevier Inc. All rights reserved.

contributors of CLBP. The progression of a degenerative cascade results in intervertebral space narrowing, osteophyte formation, end plate sclerosis, and gas formation within the disc space.⁶ With this progressive mechanism, disc degeneration significantly increases the prevalence of spinal stenosis. A narrowed spinal canal or neural foramen impinges the dorsal root ganglion, causing back and neuropathic pain. At extension, the cross-sectional area of the neural foramen and its midsagittal and sagittal subarticular diameters are even more decreased in patients, both with and without retrolisthesis. In addition, extension of the trunk puts added pressure on facet joints.

Considering the complexity of the underlying mechanisms, the treatment of CLBP requires an interdisciplinary program to modulate pain and increase function.^{4,7} Once conservative treatment options fail, surgical treatment options are the next step.⁴ Although fusion surgery is still the gold standard for intractable back pain,^{8,9} results vary considerably among the different studies, and the complication rate after fusion surgery in the lumbar spine cannot be overlooked. Recently, an alternative motion-preserving surgery has been introduced to treat CLBP to overcome fusion-related complications. Among the various types of motion-preserving modalities, interspinous devices (ISDs) are popular because of their favorable clinical outcomes with minimally invasive surgery and fewer overall complication rates.¹⁰⁻¹⁶ Recently, a modification has been developed for ISD that lie in the posterior column, called interlaminar devices (ILDs). These new devices have been developed to support the lamina (i.e., the middle column), in which common pain generators, such as posterior annulus and facet joints, are located, and where it is closer to the rotational axis.^{17,18} In the present study, we examine clinical and radiologic outcomes of ILD for treating CLBP.

METHODS

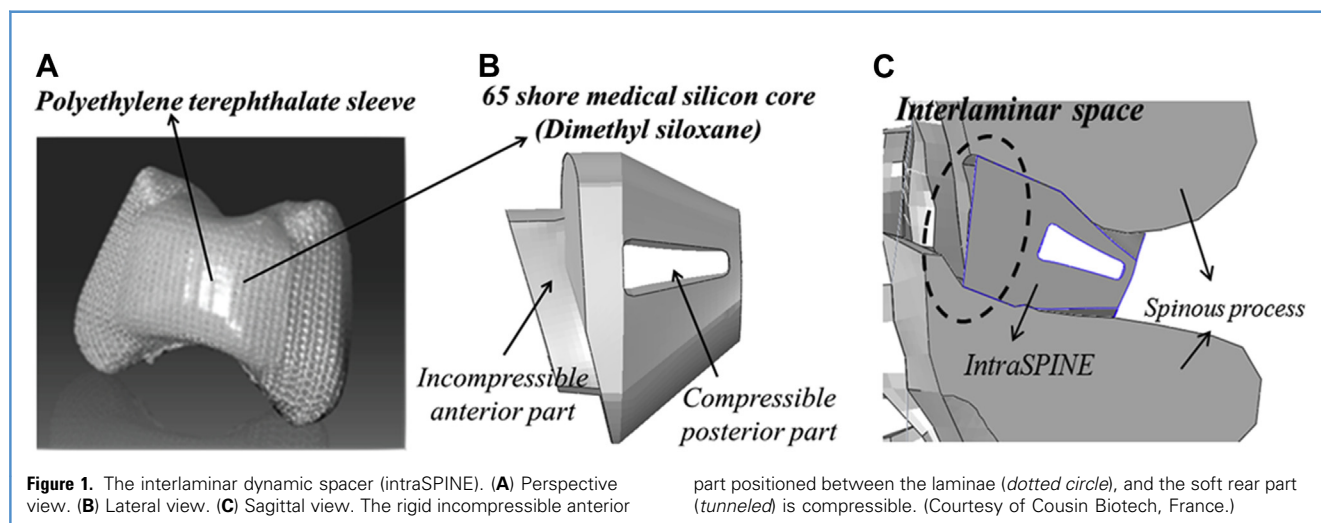
Patient Population

After receiving approval of the institutional review board, we performed a prospective study at a single institution between

January 2014 and July 2015. Consecutive adult patients (>18 years old) with CLBP who did not respond to at least 6 months of conservative treatments, such as medication, physical therapy, core muscle strengthening exercise program, epidural steroid injection, or lumbar median branch block, were enrolled into our study for an interlaminar spacer implantation performed by 2 attending surgeons (J.B. and K.-H.K.). Patients with infection, tumor, spondylolisthesis, spondylolysis, trauma, medical compensation, radiculopathy caused by stenosis, or disc herniation requiring decompression, multilevel (>3) disc degeneration, ankylosing spondylitis, previous lumbar surgery, history of psychological symptoms (e.g., depression, anxiety, sleep disorder), and sacroiliac joint pain were excluded from our series. Radiologic inclusion criteria were 1 or more of the following: lumbar magnetic resonance imaging (MRI) showing 1-level or 2-level degenerated disc disease (higher than grade 3 Pfirrmann grade) with or without high intensity zone, facet arthropathy, or retrolisthesis.

Surgical Procedure

Surgery was performed under either general or local anesthesia in the prone position. After sterile surgical preparation, a 3-cm midline skin incision was made on the index level. Usually, a unilateral approach is used for placement of the device. Periosteal muscle dissection is carried out to expose the interspinous space and both cranial and caudal lamina. The lower two thirds of the interspinous ligament is resected with a monopolar coagulator and pituitary forceps. The opposite lamina space can be prepared for implantation using a monopolar and right-angled curette. The ligamentum flavum is preserved because this procedure is not intended to direct central decompression. The base of the spinous process should be cleaned before placing the nose part of the implant (Figure 1). Using the trial implant, surgeons decide on the size of the implant to be used. After insertion of the implant, large pituitary forceps hold the implant to push and pull, to confirm its secure placement. The surgical wound is closed in layers after irrigation. The patient is allowed to ambulate immediately after the procedure, wearing a soft brace. We recommend that patients avoid flexion, extension, and rotation for 2 weeks after



the surgery. Patients start walking regularly and completing core and stretching exercises 2 weeks after the procedure (Figure 2; case example).

Outcome Measurements

Patient-reported outcomes were measured with a visual analog scale (VAS) for low back pain (VAS-LBP) and leg pain (VAS-LP) and the Oswestry Disability Index (ODI) preoperatively and during the postoperative follow-up period. Substantial clinical benefit (SCB) thresholds for ODI were defined as a net improvement of 18.8 points, a 36.8% improvement, or a final raw score of 31.3 points. SCB thresholds for VAS-LBP and VAS-LP were defined as a

net improvement of 2.5 points or a final raw score of <3.5 points. SCB thresholds for percent improvement were set as 41.4% for LBP and 38.8% for leg pain.¹⁹

Radiologic data were obtained at the preoperative and postoperative follow-up visits with plain radiographs (including standing posteroanterior, lateral, and flexion-extension dynamic views), computed tomography, and MRI of the lumbar spine. Radiologic measurements were taken using digitalized tools in PACS (picture archiving and communication system) (PiView STAR [Infinit Co. Ltd., Seoul, Korea]). Segmental lordosis at standing and extension, lumbar lordosis, pelvic incidence, sacral slope, pelvic tilt, sagittal vertical axis (C7 plumb line), mean disc

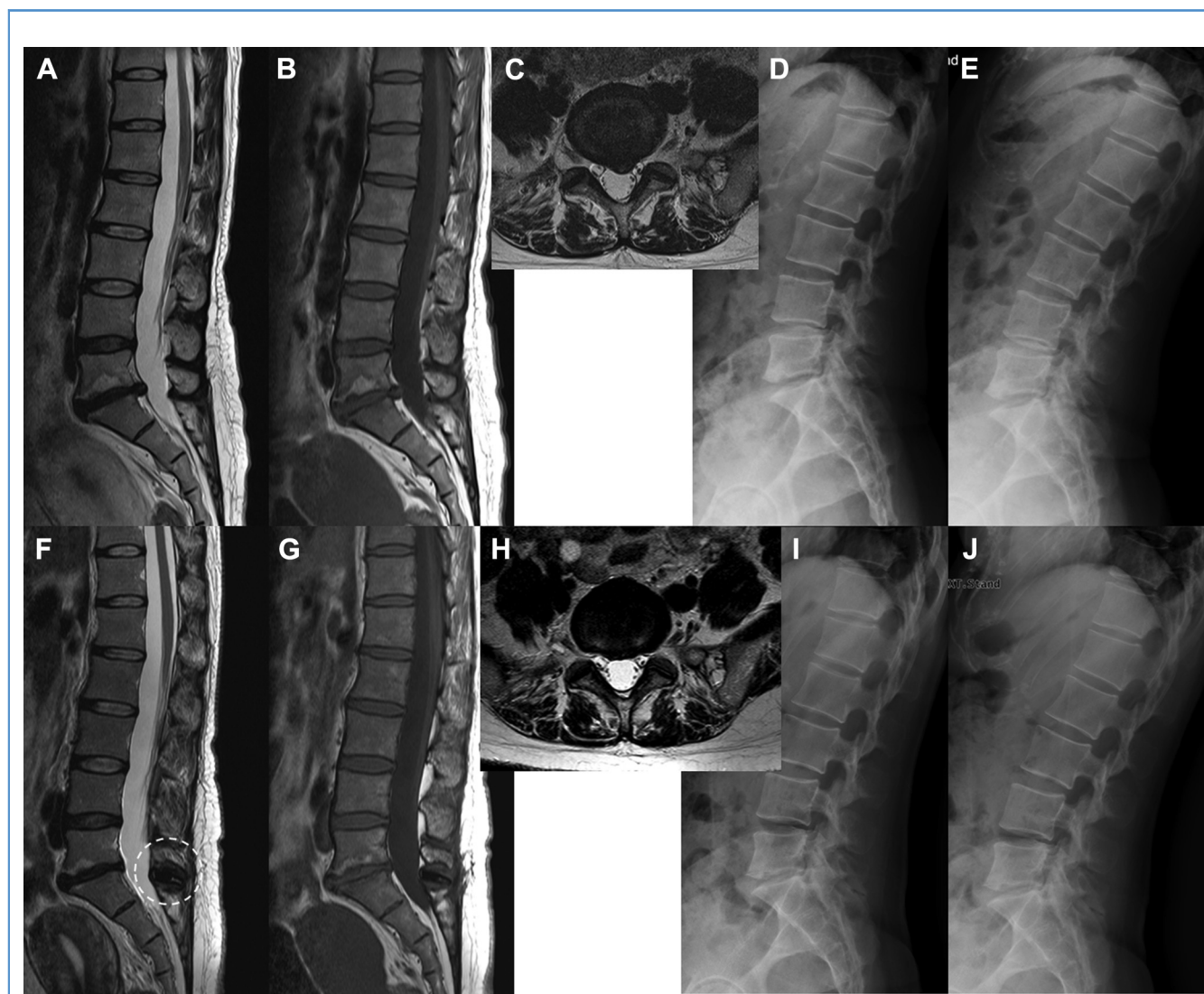


Figure 2. A case presentation of a 37-year-old woman with chronic low back pain for 18 months before surgery. Preoperative magnetic resonance imaging (A–C) and flexion-extension radiography (D, E) showing disc degeneration and central protrusion at the L5-S1 level. Modic change (type 1) at the L5 and S1 vertebra can be seen. At the 24-month postoperative follow-up, magnetic resonance imaging (F–H) and dynamic

radiography (I, J) were performed, showing remodeling of the protruded disc at the L5-S1 and decreased bone edema at the vertebral body. Note the dynamic spacer is located within the laminar space (round dot). At the final follow-up, the visual analog scale for back and leg pain decreased from 7 to 1 and 5 to 1, respectively and the Oswestry Disability Index score decreased from 28% to 18%.

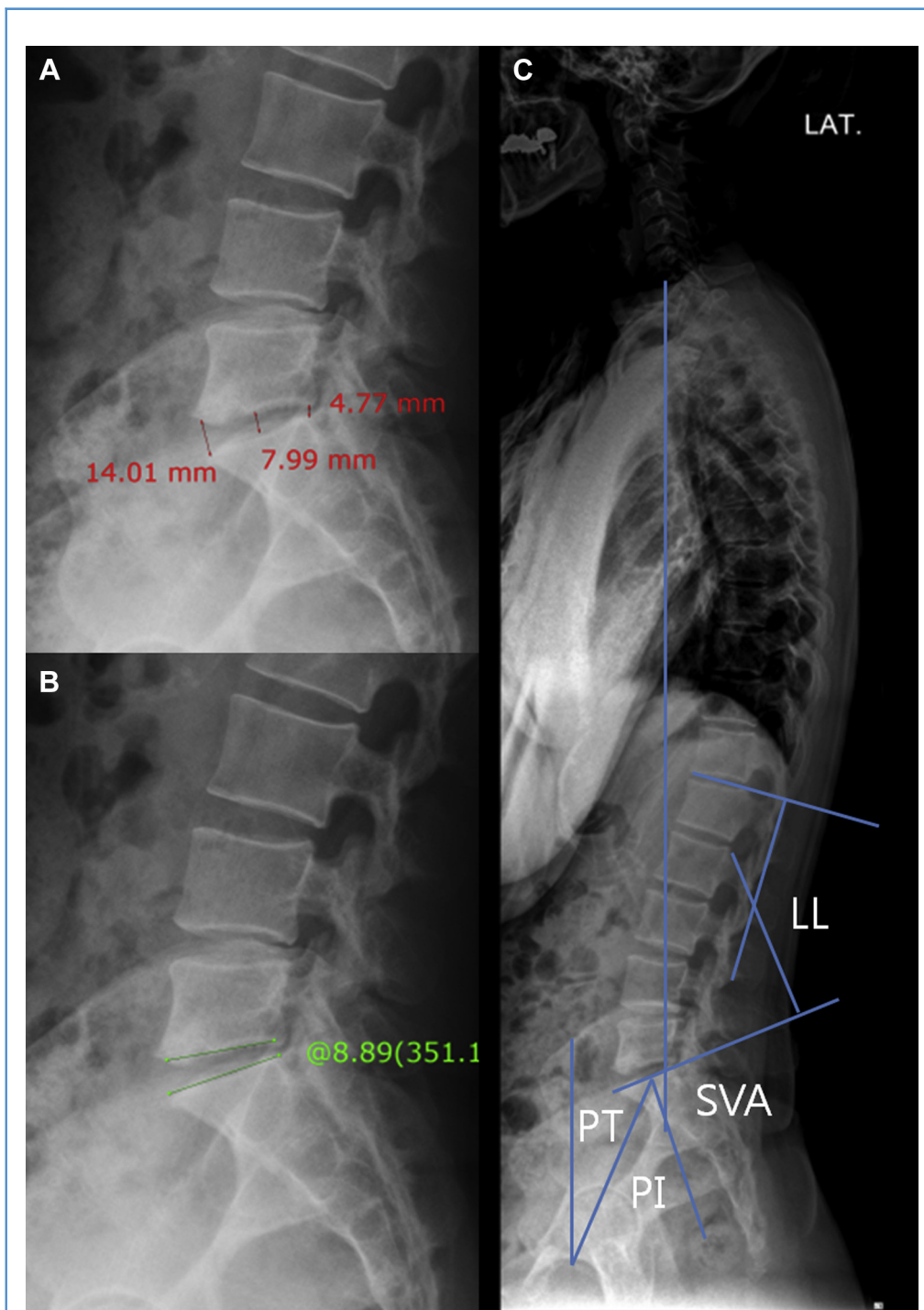


Figure 3. Spinal radiographic measurements. **(A)** Mean disc height was measured as the mean value of anterior, middle, and posterior disc height. **(B)** Segmental angle: sagittal Cobb angle between the lower end plate of the upper vertebra and the upper end plate of the lower vertebra. **(C)** C7-S1 sagittal vertical axis (SVA, plumb line from the center of the C7 vertebral body to the posterior sacral prominence on the lateral radiograph), lumbar lordosis (LL, sagittal

Cobb angle between T12 inferior end plate and S1 superior end plate), pelvic incidence (PI, angle between a line perpendicular to S1 superior end plate and the line connecting S1 superior end plate to the bicoxofemoral axis), and pelvic tilt (PT, angle made between lines originating at the bicoxofemoral axis and extending vertically and to the middle of S1 superior end plate).



Figure 4. Foraminal dimension was calculated as the mean value of the right and left foraminal area measured within the regions of interest as outlined with a graphic cursor around the neural foramen at the midpedicular zone.

height, and posterior disc height were measured on the lateral radiographs. The segmental dynamic angle was measured on the dynamic radiograph by subtracting the flexion angle from extension angle (Figure 3). Foraminal dimension was measured on sagittal T2-weighted MRI at the midpedicular level (Figure 4). After free drawing the region of interest on both sides at the index level by 2 independent observers, the mean foraminal dimension was measured and preoperative and postoperative data were compared. Disc degeneration was classified according to the Pfirrmann classification system²⁰ (Table 1).

Statistical Analysis

We used the Student t test for parametric variables and Mann-Whitney U test for nonparametric variables. Pearson correlation

Table 2. Demographic and Clinical Data

| Parameter | Value |
|--|-------------|
| Age (years) | 47.8 ± 11.7 |
| Sex, n | |
| Male | 14 |
| Female | 21 |
| Level, n (%) | |
| L4-5 | 8 (22.9) |
| L5-S1 | 10 (29.6) |
| L3-4, L4-5 | 2 (5.7) |
| L4-5, L5-S1 | 15 (42.9) |
| Preoperative symptom duration (months) | 29.6 ± 42.1 |
| Number of levels | |
| 1 | 18 |
| 2 | 17 |
| Follow-up period (months) | 16.6 ± 6.5 |
| Hospital stay (days) | 6.9 ± 3.4 |
| Body mass index (kg/m ²) | 23.7 ± 2.8 |

coefficients were used to assess correlations between changes in VAS and ODI as well as the changes in radiographic measurements and demographic values. A P value <0.05 was defined as statistically significant. All analyses were performed using SPSS 14.0K (SPSS Inc., Chicago, Illinois, USA).

RESULTS

Table 2 shows the demographic data of the patients included in the study. Thirty-five patients (21 females, 14 males; average age, 47.8 ± 11.7 years) met the inclusion criteria. The mean duration of back pain was 29.7 ± 42.1 months with a range of 6–180 months. The mean follow-up period was 16.6 ± 6.5 months with a range of 6–29 months. Of the 35 patients initially included in this study, a 49-year old male patient who had multiple contusion injuries as a result of a motor vehicle accident about 8 months after the surgery was later excluded from the study.

Table 1. Grading of Lumbar Disc Degeneration on T2-Weighted Sagittal Magnetic Resonance Images Proposed by Pfirrmann et al.

| Grade | Structure | Distinction of Nucleus and Annulus | Signal Intensity | Height of Intervertebral Disc |
|-------|--|------------------------------------|-----------------------------|--------------------------------|
| I | Homogeneous, bright white | Clear | Hyperintense or isointense | Normal |
| II | Inhomogeneous with or without horizontal bands | Clear | Hyperintense or isointense | Normal |
| III | Inhomogeneous, gray | Unclear | Intermediate | Normal to slightly decreased |
| IV | Inhomogeneous, gray to black | Lost | Intermediate to hypointense | Normal to moderately decreased |
| V | Inhomogeneous, black | Lost | Hypointense | Collapsed disc space |

Table 3. Preoperative and Postoperative Radiologic Outcome

| | Preoperative | Postoperative | P Value* | Last Follow-Up | P Value* |
|---|--------------|---------------|----------|----------------|----------|
| Pelvic incidence (°) | 49.1 ± 7.1 | 49.9 ± 8.2 | 0.476 | 49.0 ± 7.6 | 0.840 |
| Pelvic tilt (°) | 14.6 ± 6.8 | 17.2 ± 8.2 | 0.055 | 16.3 ± 7.7 | 0.049 |
| Lumbar lordosis (°) | 47.5 ± 10.3 | 46.1 ± 8.4 | 0.523 | 47.9 ± 8.2 | 0.780 |
| Sagittal vertical axis (mm) | 17.6 ± 13.6 | 4.0 ± 20.6 | 0.382 | 8.7 ± 10.1 | 0.404 |
| Segmental flexion (°) | 6.1 ± 5.0 | 6.3 ± 4.8 | 0.687 | 6.1 ± 4.9 | 0.971 |
| Segmental extension (°) | 14.2 ± 6.2 | 11.4 ± 6.4 | 0.000 | 11.7 ± 5.4 | 0.000 |
| Segmental range of motion (°) | 8.1 ± 6.8 | 5.1 ± 6.0 | 0.001 | 5.4 ± 6.6 | 0.017 |
| Mean foraminal dimension (mm ²) | 76.4 ± 17.1 | 112.3 ± 23.7 | 0.000 | 110.4 ± 24.8 | 0.000 |
| Mean disc height (mm) | 12.1 ± 3.1 | 12.9 ± 2.9 | 0.000 | 12.7 ± 3.1 | 0.014 |
| Posterior disc height (mm) | 7.7 ± 2.4 | 8.9 ± 2.5 | 0.000 | 8.7 ± 2.9 | 0.001 |
| Modic change | 1.9 | 1.9 | 1.000 | 1.9 | 0.317 |
| Pfirsman grade | 3.9 | 3.9 | 0.083 | 3.9 | 0.157 |

*P value compared with preoperative value.

Table 3 shows the comparison of radiographic parameters at the preoperative, early postoperative, and final follow-up. Global sagittal parameters such as pelvic incidence, pelvic tilt, lumbar lordosis, and sagittal vertical axis did not change significantly after surgery. Regarding regional sagittal parameters, segmental lordosis on the extension at the index level significantly decreased ($14.2^\circ \pm 6.2^\circ$ vs. $11.7^\circ \pm 5.4^\circ$; $P = 0.000$). The mean disc height (12.1 ± 3.1 vs. 12.7 ± 3.1 mm) and posterior disc height (7.7 ± 2.4 vs. 8.7 ± 2.9 mm) significantly increased after surgery ($P = 0.014$, $P = 0.001$, respectively). The range of motion at the index level decreased after surgery ($8.1^\circ \pm 6.8^\circ$ vs. $5.4^\circ \pm 6.6^\circ$; $P = 0.017$). The mean foraminal dimension significantly increased after surgery (76.4 ± 17.1 vs. 110.4 ± 24.8 mm²; $P < 0.05$).

From the preoperative examination to the last follow-up (>6 months), ODI improved from 38.9% to 23.8% and VAS-LBP and VAS-LP improved from 6.8 to 3.6 and from 4.5 to 2.2, respectively (**Table 4**). Using ODI, 75.8% of patients reached the threshold for SCB. Using VAS-LBP and VAS-LP, 72.7% and 84.8% of patients reached SCB, respectively. 84.8% of patients reached SCB of either ODI or VAS-LBP. **Table 5** shows the correlation of demographic and radiographic factors to the achievement of SCB in VAS-LBP, VAS-LP, and ODI. A 2-level surgery showed higher achievement of SCB in VAS-LBP than in a 1-level surgery (0.359, $P = 0.040$) and L4-5-S1 had superior achievement of SCB followed by L3-4-5, L5-S1, and L4-5 (0.045, $P = 0.019$) in VAS-LBP and VAS-LP (**Figure 5**). High body mass index and longer duration of symptoms before surgery showed a significant negative correlation to the achievement of SCB in VAS-LBP and ODI. Preoperative higher lumbar lordosis had an adverse impact on the improvement of VAS-LBP (-0.362 , $P = 0.038$) and a postoperative greater segmental extension angle showed a significant negative correlation to ODI (-0.422 , $P = 0.016$). **Table 6** compares significant parameters between the reaching and nonreaching SCB groups, in more detail.

During the follow-up period, complications such as infection, spinous process fracture, allergic reaction, and implant migration were not observed in our series.

DISCUSSION

Because of the complexity of causes, treatment of CLBP is often challenging and consists of a wide array of treatment options ranging from physical therapy to spinal fusion. Although most of the preferred treatment options are conservative modalities so as not to interfere with existing structures, these treatments leave

Table 4. Clinical Outcomes

| Variable | Total (n = 29) (Mean ± Standard Deviation) | P Value* |
|-------------------------------|---|----------|
| Visual analog scale back | | |
| Preoperative | 6.8 ± 1.6 | |
| Postoperative | 3.2 ± 1.8 | 0.000 |
| Last follow-up | 3.6 ± 2.3 | 0.000 |
| Visual analog scale leg | | |
| Preoperative | 4.5 ± 2.7 | |
| Postoperative | 2.4 ± 2.1 | 0.000 |
| Last follow-up | 2.2 ± 2.3 | 0.000 |
| Oswestry Disability Index (%) | | |
| Preoperative | 38.9 ± 14.3 | |
| Postoperative | 29.7 ± 12.1 | 0.001 |
| Last follow-up | 23.8 ± 11.9 | 0.000 |

*P value compared with preoperative value.

Table 5. Correlation Coefficiency of Demographic and Radiographic Factors with Substantial Clinical Benefit in Visual Analog Scale for Low Back Pain, Visual Analog Scale for Leg Pain, and Oswestry Disability Index

| | SCB VAS-LBP | | SCB VAS-LP | | SCB ODI | |
|---------------------------|-------------------------|---------|-------------------------|---------|-------------------------|---------|
| | Correlation Coefficient | P Value | Correlation Coefficient | P Value | Correlation Coefficient | P Value |
| Age | 0.019 | 0.917 | 0.060 | 0.738 | -0.128 | 0.479 |
| Gender | 0.063 | 0.726 | 0.005 | 0.977 | 0.123 | 0.496 |
| Level of surgery | 0.405 | 0.019 | 0.356 | 0.042 | 0.016 | 0.930 |
| Number of index level | 0.359 | 0.040 | 0.266 | 0.134 | 0.017 | 0.925 |
| Body mass index | -0.358 | 0.041 | -0.275 | 0.121 | -0.357 | 0.042 |
| Symptom duration | -0.383 | 0.028 | -0.085 | 0.637 | -0.402 | 0.020 |
| Hospital stay | 0.186 | 0.300 | -0.207 | 0.247 | 0.135 | 0.454 |
| Smoking | 0.259 | 0.146 | 0.179 | 0.320 | 0.042 | 0.817 |
| Depression | 0.156 | 0.387 | 0.107 | 0.552 | 0.144 | 0.425 |
| Occupational status | -0.133 | 0.461 | -0.146 | 0.418 | -0.138 | 0.443 |
| Follow-up period | 0.161 | 0.371 | -0.093 | 0.606 | 0.134 | 0.458 |
| Preoperative | | | | | | |
| Pelvic incidence | -0.118 | 0.512 | 0.093 | 0.605 | -0.301 | 0.088 |
| Pelvic tilt | -0.204 | 0.255 | -0.102 | 0.571 | -0.026 | 0.886 |
| Lumbar lordosis | -0.362 | 0.038 | -0.036 | 0.844 | -0.086 | 0.635 |
| Sagittal vertical axis | 0.298 | 0.123 | 0.029 | 0.884 | 0.255 | 0.191 |
| Segmental flexion | -0.155 | 0.526 | -0.151 | 0.401 | -0.149 | 0.409 |
| Segmental extension | -0.122 | 0.500 | -0.173 | 0.344 | -0.316 | 0.073 |
| Segmental range of motion | -0.072 | 0.692 | -0.102 | 0.571 | -0.238 | 0.182 |
| Mean disc height | 0.129 | 0.475 | 0.173 | 0.335 | -0.186 | 0.301 |
| Posterior disc height | 0.139 | 0.439 | 0.049 | 0.787 | -0.078 | 0.666 |
| Mean foraminal dimension | 0.207 | 0.247 | 0.355 | 0.043 | 0.223 | 0.213 |
| Pfirsman grade | 0.072 | 0.700 | -0.208 | 0.263 | 0.309 | 0.091 |
| Postoperative | | | | | | |
| Pelvic incidence | -0.235 | 0.196 | -0.275 | 0.127 | -0.029 | 0.876 |
| Pelvic tilt | -0.149 | 0.416 | -0.033 | 0.859 | 0.127 | 0.488 |
| Lumbar lordosis | -0.290 | 0.108 | -0.280 | 0.120 | 0.008 | 0.964 |
| Sagittal vertical axis | 0.312 | 0.232 | 0.302 | 0.726 | 0.457 | 0.345 |
| Segmental flexion | -0.113 | 0.538 | -0.154 | 0.400 | -0.291 | 0.106 |
| Segmental extension | -0.132 | 0.471 | -0.313 | 0.081 | -0.422 | 0.016 |
| Segmental range of motion | -0.072 | 0.697 | -0.168 | 0.358 | -0.221 | 0.223 |
| Mean disc height | 0.168 | 0.350 | 0.186 | 0.299 | -0.085 | 0.636 |
| Posterior disc height | 0.036 | 0.843 | 0.169 | 0.348 | -0.249 | 0.162 |
| Mean foraminal dimension | -0.049 | 0.790 | 0.051 | 0.781 | -0.047 | 0.799 |
| Pfirsman grade | 0.276 | 0.172 | -0.047 | 0.819 | 0.239 | 0.240 |

SCB, substantial clinical benefit; VAS-LBP, visual analog scale for low back pain; VAS-LP, visual analog scale for leg pain; ODI, Oswestry Disability Index.

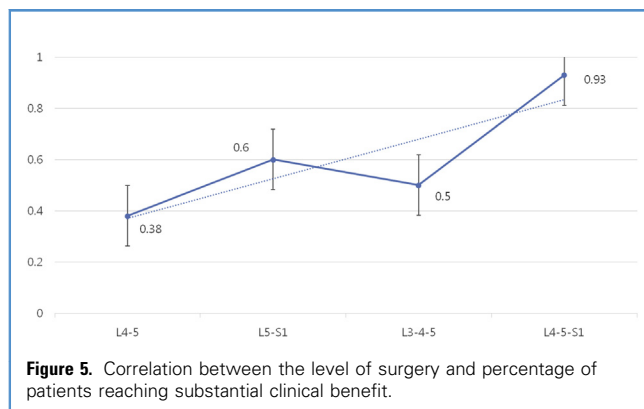


Figure 5. Correlation between the level of surgery and percentage of patients reaching substantial clinical benefit.

patients who still have mechanical instability. As degenerative cascade progresses, disc height (mainly posterior disc height) decreases, causing overloading on the posterior column such as facet joints and interspinous ligaments. Consequently, CLBP arises from multiple pain generators resulting from degenerative cascade, including mechanoreceptors in the vertebral end plates, the sinuvertebral nerves, and nociceptors in the posterior part of annulus fibrosis, the posterior longitudinal ligament, the capsule of facet joints and the dura mater.²¹ Apart from spondylolisthesis or instability, which should already be treated by fusion, the loss of structural microstability in a normal spine caused by degeneration also needs to be stabilized.²² Under these circumstances, it is recommended in the literature that dynamic stabilization systems be used and they are still being developed.

Table 6. Comparison of Significant Parameters Between Reaching and Nonreaching Substantial Clinical Benefit Groups in Visual Analog Scale for Low Back Pain and Oswestry Disability Index

| | Substantial Clinical Benefit Reaching | Substantial Clinical Benefit Nonreaching | P Value |
|---------------------------------------|---------------------------------------|--|---------|
| Visual analog scale for low back pain | | | |
| Body mass index (kg/m ²) | 23.1 ± 2.8 | 25.1 ± 2.6 | 0.044 |
| Symptom duration (months) | 25.2 ± 38.6 | 46.2 ± 52.7 | 0.032 |
| Level L45, 51, 345, 451 | 3/6/1/14 | 5/4/1/1 | 0.032 |
| Number of levels | 1.6 ± 0.5 | 1.2 ± 0.4 | 0.040 |
| Oswestry Disability Index | | | |
| Body mass index (kg/m ²) | 23.2 ± 3.1 | 25.1 ± 1.7 | 0.044 |
| Symptom duration (months) | 30.1 ± 48.9 | 33.4 ± 16.5 | 0.022 |
| Postoperative segmental extension (°) | 9.7 ± 5.7 | 15.7 ± 6.7 | 0.027 |

A pedicle screw-based system, arthroplasty such as disc replacement or facet joint replacement, and ISDs are common types of dynamic devices.^{10,23} ISD is the most common nonfusion technique device that acts as spacers that can indirectly decompress neural tissue by means of distracting the spinous process.²⁴ As a minimally invasive surgery device, it compensates limitations of traditional fusion devices by preserving motion. Many of the early outcomes in the literature justified its effectiveness in treating CLBP.^{21,25}

As proved in cadaver studies, ISD distracts the posterior part of the functional spinal unit, repositioning and unloading the facet joints and reducing intervertebral pressure, particularly on the posterior part of the end plates, to intervene in the pain-generating mechanism.^{23,26,27} Buric et al.¹⁶ reported a significant long-term improvement in pain and disability after surgery with the ISD (DIAM; Medtronic Inc., Memphis, Tennessee, USA) in patients with low back pain. In their study, at the 48-month follow-up examination, 67.3% of patients reached the minimum clinically important difference (>1.5 point improvement) in VAS-LBP. In the present study, our data show a better achievement of SCB in VAS-LBP (78.9%) at a higher point threshold (>2.5 point improvement). Although it may be imprecise to compare these 2 sets of results because of the different follow-up durations, it seems that the outcomes of the present study are in accordance with previous results. The outcomes after the DIAM application were best between 6 and 24 months postoperatively followed by an increase in VAS scores from 24 to 48 months. Despite this increase, VAS scores remained significantly lower than the baseline values. Buric et al. did not report their radiologic outcomes. We presume that the reason for pain deterioration in the later follow-up periods might be related to the loss of correction over time. Despite the many types of ISD, several studies of the biomechanical behavior of different ISDs showed a similar stabilizing effect and that significant postoperative radiologic changes have reverted back to their initial preoperative values during the course of the follow-up period. Complications that lead to the failure of the procedure such as a loss of constraint or a spinous process fracture, or overdistraction leading to segmental kyphosis that causes remodeling or fracture of the spinous process, are examples of loss of correction caused by the biomechanical characteristics of ISD.^{28,29}

An ILD is designed to be used as an alternative to ISD because it is implanted more anteriorly in the interlaminar space compared with other ISDs, allowing better physiologic movements.¹⁷ Because of differing elasticity between the anterior and posterior parts of the vertebral column, the posterior part of the spinous process can be deformed and compressed to allow for extension. In turn, ILD acts as an extension blocker at the interlaminar space to unload the posterior annulus and facet joints located in the middle column. Long-term reports of ILD show its clinical and radiologic efficacy in reducing or stopping the degenerative cascade without the loss of correction. Guizzardi et al.¹⁸ reported a retrospective review of 281 patients after a minimum follow-up period of 52 months who underwent ILD implantation of >1 level. In that study, 91.1% of the patients showed excellent/good clinical results. In MRIs taken 18 months postoperatively, the investigators observed that 80% of the patients experienced an improvement or discontinuation of disc degeneration. A finite element analysis model³⁰ showed that ILD

is effective in reducing intradiscal pressure and facet loads as well as limiting extension, preserving spinal motion, and minimizing the adverse effects on adjacent segments. In an in vitro biomechanical study, ILD was also shown to be effective in decreasing intradiscal pressure and preserving spinal motion and normal axes of rotation.¹⁷

The overall achievement of SCB after the interlaminar spacer procedure in this study is highly satisfactory. Regarding ODI scores, 75.8% of the patients reached the threshold for SCB. In terms of VAS-LBP and VAS-LP scores, 72.7% and 84.8% of the patients reached SCB, respectively. 84.8% of the patients reached SCB in either ODI or VAS-LBP. Higher body mass index and longer duration of symptoms before surgery jeopardized clinical improvement, which suggests that multifactorial contributions including mechanical burden from obesity, neurophysiologic change,³¹ and psychosocial factors³² are also associated with CLBP. For obese patients, losing weight should be considered before or soon after the surgery. We recommend a multidisciplinary approach to treat patients with CLBP for more than 3 years. In our study, a 2-level procedure or surgery at the L5-S1 showed best overall outcomes. Although this finding does not imply that the L5-S1 level should always be included in the surgical treatment, proper presurgical planning is necessary in cases in which disc degeneration exists at the L5-S1, in addition to the L4-5 level surgery.

There are 2 major limitations of this study that should also be addressed. Although a positive result has been achieved and maintained for the given period postoperatively, definitive

results need to be confirmed with longer follow-up periods. Because this is a motion-preserving surgery, it is less likely to develop adjacent segment degenerations to cause LBP. However, the longevity of ILD at the index level should be carefully evaluated with a longer postoperative follow-up period. Despite this limitation, our results are relevant because ILD showed consistent results with studies in the literature about ISD for CLBP. Our study showed even better outcomes at the midterm postoperative follow-up period without any major complications. This study is a level 3 study without a randomized control group. This limitation decreases the power of our result. Patients who underwent fusion surgery, which is a gold standard for intractable back pain, or patients who underwent conservative treatment, could be considered as possible control groups.

CONCLUSIONS

An ILD application significantly improves pain and functional status in patients with CLBP who do not respond to conservative treatment lasting more than 6 months. This finding may be attributable to disc height restoration and prevention of excessive extension, which decreases overloading on facet joints and reduces intervertebral pressure and indirectly decompresses nerve roots by increasing the foraminal area and preserving spinal motion. Although encouraging, these results should be confirmed by studies with larger cohorts and longer postoperative follow-up periods.

REFERENCES

1. Woolf AD, Pfleger B. Burden of major musculoskeletal conditions. *Bull World Health Organ*. 2003; 81:646-656.
2. Andersson GB. Epidemiology of low back pain. *Acta Orthop Scand Suppl*. 1998;281:28-31.
3. Dagenais S, Caro J, Haldeman S. A systematic review of low back pain cost of illness studies in the United States and internationally. *Spine J*. 2008;8:8-20.
4. Baliga S, Treon K, Craig NJ. Low back pain: current surgical approaches. *Asian Spine J*. 2015;9: 645-657.
5. Breivik H, Collett B, Ventafridda V, Cohen R, Gallacher D. Survey of chronic pain in Europe: prevalence, impact on daily life, and treatment. *Eur J Pain*. 2006;10:287-333.
6. Luoma K, Riihimäki H, Luukkainen R, Raininko R, Viikari-Juntura E, Lamminen A. Low back pain in relation to lumbar disc degeneration. *Spine (Phila Pa 1976)*. 2000;25:487-492.
7. Lee JH, Lee SH. Clinical efficacy of percutaneous endoscopic lumbar annuloplasty and nucleoplasty for treatment of patients with discogenic low back pain. *Pain Med*. 2016;17:650-657.
8. Becker P, Bretschneider W, Tuschel A, Ogon M. Life quality after instrumented lumbar fusion in the elderly. *Spine (Phila Pa 1976)*. 2010;35:1478-1481.
9. Fritzell P, Hagg O, Wessberg P, Nordwall A. Swedish Lumbar Spine Study Group. Chronic low back pain and fusion: a comparison of three surgical techniques: a prospective multicenter randomized study from the Swedish Lumbar Spine Study Group. *Spine (Phila Pa 1976)*. 2002;27: 1131-1141.
10. Lee SH, Seol A, Cho TY, Kim SY, Kim DJ, Lim HM. A systematic review of interspinous dynamic stabilization. *Clin Orthop Surg*. 2015;7: 323-329.
11. Lo TP Jr, Salerno SS, Colohan AR. Interlaminar spacer: a review of its mechanism, application, and efficacy. *World Neurosurg*. 2010;74:617-626.
12. Nunley PD, Shamie AN, Blumenthal SL, Orndorff D, Block JE, Geisler FH. Interspinous process decompression: expanding treatment options for lumbar spinal stenosis. *Biomed Res Int*. 2016;2016: 3267307.
13. Nachanikian A, El Helou A, Alaywan M. Posterior dynamic stabilization: The interspinous spacer from treatment to prevention. *Asian J Neurosurg*. 2016;11:87-93.
14. Mohar J, Cimerman M. Radiological changes after interspinous dynamic stabilisation for lateral stenosis of lumbar spinal canal: a parallel group randomised trial. *Acta Chir Orthop Traumatol Cech*. 2016;83:263-268.
15. Daentzer D, Hurschler C, Seehaus F, Noll C, Schwarze M. Posterior dynamic stabilization in the lumbar spine—24 months results of a prospective clinical and radiological study with an interspinous distraction device. *BMC Musculoskelet Disord*. 2016;17:90.
16. Chen XL, Guan L, Liu YZ, Yang JC, Wang WL, Hai Y. Interspinous dynamic stabilization adjacent to fusion versus double-segment fusion for treatment of lumbar degenerative disease with a minimum follow-up of three years. *Int Orthop*. 2016;40: 1275-1283.
17. Guizzardi G, Persohn S, Campana S, Aylott C, Petrini P, Skalli W. Biomechanical effect of an interlaminar device on ranges of motion, intradiscal pressure, and centers of rotation. *Open Access J Sci Technol*. 2015;3. <http://dx.doi.org/10.11131/2015/101160>.
18. Giancarlo G, Morichi R. Clinical Results with IntraSPINE®. *EC Orthopaedics*. 2015;2:101-106.
19. Glassman SD, Copay AG, Berven SH, Polly DW, Subach BR, Carreon LY. Defining substantial clinical benefit following lumbar spine arthrodesis. *J Bone Joint Surg Am*. 2008;90:1839-1847.
20. Pfirrmann CW, Metzendorf A, Zanetti M, Hodler J, Boos N. Magnetic resonance classification of lumbar intervertebral disc degeneration. *Spine (Phila Pa 1976)*. 2001;26:1873-1878.
21. Buric J, Pulidori M. Long-term reduction in pain and disability after surgery with the interspinous device for intervertebral assisted motion (DIAM) spinal stabilization system in patients with low back pain: 4-year follow-up from a longitudinal

- prospective case series. *Eur Spine J.* 2011;20:1304-1311.
22. Lee S-H, Lee J-H, Hong S-W, Chung S-E, Yoo S-H, Lee H-Y. Spinopelvic alignment after interspinous soft stabilization with a tension band system in grade 1 degenerative lumbar spondylolisthesis. *Spine.* 2010;35:E691-E701.
 23. Minns RJ, Walsh WK. Preliminary design and experimental studies of a novel soft implant for correcting sagittal plane instability in the lumbar spine. *Spine (Phila Pa 1976).* 1997;22:1819-1825 [discussion: 1826-1817].
 24. Khiami F, Breque C, Pascal-Mousselard H, Ragot S, Hirsch C, Richer JP, et al. Intervertebral foramen variation following dynamic L4-L5 interspinous device implantation: foramen size after interspinous device implantation. *J Spinal Disord Tech.* 2013;26:E215-E220.
 25. Galarza M, Gazzeri R, De la Rosa P, Martínez-Lage JF. Microdiscectomy with and without insertion of interspinous device for herniated disc at the L5-S1 level. *J Clin Neurosci.* 2014;21:1934-1939.
 26. Swanson KE, Lindsey DP, Hsu KY, Zucherman JF, Yerby SA. The effects of an interspinous implant on intervertebral disc pressures. *Spine (Phila Pa 1976).* 2003;28:26-32.
 27. Lindsey DP, Swanson KE, Fuchs P, Hsu KY, Zucherman JF, Yerby SA. The effects of an interspinous implant on the kinematics of the instrumented and adjacent levels in the lumbar spine. *Spine (Phila Pa 1976).* 2003;28:2192-2197.
 28. Galarzay Vicentini M, Gazzeri R, De la Rosa P, Piqueras C. 164 failure rates and complications of interspinous process decompression devices: a European multicenter study. *Neurosurgery.* 2016; 63(suppl 1):166.
 29. Lee N, Shin DA, Kim KN, Yoon DH, Ha Y, Shin HC, et al. Paradoxical radiographic changes of coflex interspinous device with minimum 2-year follow-up in lumbar spinal stenosis. *World Neurosurg.* 2016;85:177-184.
 30. Lee SJ, Jung TG, Eom JD, Chen WM, Tack GR, Lee JW. Assessment of biomechanical efficacy of a newly introduced inter-laminar device for the treatment of lumbar spinal stenosis. In: *International Society for the Advancement of Spine Surgery.* Singapore: Spineweek; 2016.
 31. Pelletier R, Higgins J, Bourbonnais D. Addressing neuroplastic changes in distributed areas of the nervous system associated with chronic musculoskeletal disorders. *Phys Ther.* 2015;95:1582-1591.
 32. Crowe M, Whitehead L, Seaton P, Jordan J, McCall C, Maskill V, et al. Qualitative meta-synthesis: the experience of chronic pain across conditions [e-pub ahead of print]. *J Adv Nurs.* <http://dx.doi.org/10.1111/jan.13174>. Accessed November 4, 2016.

Conflict of interest statement: The authors declare that the article content was composed in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Received 17 November 2016; accepted 16 February 2017

Citation: *World Neurosurg.* (2017) 101:589-598.
<http://dx.doi.org/10.1016/j.wneu.2017.02.083>

Journal homepage: www.WORLDNEUROSURGERY.org

Available online: www.sciencedirect.com

1878-8750/\$ - see front matter © 2017 Elsevier Inc. All rights reserved.